

A

U S Patent and Trademark Office U S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket No.	42390P12059
First Inventor	Brian R. Haug
Title	CODE SCRAMBLING
Express Mail Label No.	EL546136670US

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

ADDRESS TO:

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
  3. ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)
    - Descriptive title of the Invention
    - Cross References to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
  5. Oath or Declaration [Total Pages 6]
    - a. ☐ Newly executed (original or copy)
    - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
    - d. ☒ Unsigned
  6. ☐ Application Data Sheet. See 37 CFR 1.76
  7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
    - a. ☐ Computer Readable Form (CRF)
    - b. Specification Sequence Listing on:
      - i. ☐ CD-ROM or CD-R (2 copies); or
      - ii. ☐ paper
    - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- |     |                                     |  |   |
|-----|-------------------------------------|--|---|
| 9.  | <input type="checkbox"/>            | Assignment Papers (cover sheet & document(s))  |   |
| 10. | <input type="checkbox"/>            | 37 C.F.R. § 3.73(b) Statement<br>(when there is an assignee)   | <input type="checkbox"/> Power of Attorney          |
| 11. | <input type="checkbox"/>            | English Translation Document (if applicable)   |   |
| 12. | <input type="checkbox"/>            | Information Disclosure<br>Statement (IDS)/PTO-1449   | <input type="checkbox"/> Copies of IDS<br>Citations |
| 13. | <input type="checkbox"/>            | Preliminary Amendment  |   |
| 14. | <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |   |
| 15. | <input type="checkbox"/>            | Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)   |   |
| 16. | <input type="checkbox"/>            | Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).<br>Applicant must attach form PTO/SB/35 or its equivalent. |   |
| 17. | <input type="checkbox"/>            | Other: .....   |   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application Information: Examiner

Group/Art Unit:

For **CONTINUATION OR DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS



08791

PATENT TRADEMARK OFFICE

(Insert Customer No or Attach bar code label here)

 Customer Number of Bar Code Label

or ☐ Correspondence address below

Name

*Address*

City

State

---

Zip Code

Country

Telephone

Fax

Name (Print/Type)

~~Paul A. Mendonsa~~

Registration No. (Attorney/Agent)

42,879

Signature

Date \_\_\_\_\_

07/13/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision

**Complete if Known**

Application Number	
Filing Date	July 13, 2001
First Named Inventor	Brian R. Haug
Examiner Name	
Group/Art Unit	
Attorney Docket No.	42390P12059

TOTAL AMOUNT OF PAYMENT (\$ ) 1,670.00

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor &amp; Zafman LLP

- ☒
- Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

☐ Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ ) 710.00

**2. EXTRA CLAIM FEES**

Total Claims		Extra Claims		Fee from below	
60	- 20**	40	X	18.00	= \$720.00
6	- 3**	3	X	80.00	= \$240.00
Multiple Dependent					

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	260	204	135	Multiple Dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ ) 960.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

**SUBMITTED BY**

Name (Print/Type) Paul A. Mendonza

Registration No. 42,879  
(Attorney/Agent)

Signature

**Complete (if applicable)**

Telephone (503) 684-6200

Date 07/13/01

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231